

## The Canadian Regulatory Environment for Natural Health Product

加拿大天然健康品法規剖析

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#### Presentation Outline 讲座提要

- A. Canadian NHP Regulations 加拿大天然健康品法规
- B. Opportunity 机遇
- C. Case Studies 案例
- D. Wellgenex Brief Introduction 公司简介
- E. Q & A 问答



#### A. Regulations - Overview 法规简述



- Used to be either food or drug before 2004
- NHP regulations introduced on 01/01/2004 by Health Canada
- Regulated as subset of drugs. Pre-market approval required.
- NHP regulations: **Product licensing, site licensing/GMP, clinical trials**, labeling, adverse reaction reporting



#### A. Regulations - NHP Definition 天然健康品定义

#### Substance:

- 1. A plant, an alga, a bacterium, a fungus or a non-human animal material
- 2. An extract or isolate of the above
- 3. Vitamins (selected)
- 4. Amino acids
- 5. Essential fatty acids
- 6. Synthetic duplicates of any of 2 to 5
- Minerals
- 8. Probiotics

#### Permitted claims:

- 1. Therapeutic diagnosis, treatment, mitigation, or prevention (except Schedule A diseases)
- 2. Risk reduction
- 3. Structure/function



#### A. Regulations - Product Licensing (PL) 产品注册认证

- Types of product license application (PLA)
  - Compendial (i.e. monograph)
  - Traditional claim (e.g. TCM/CM)
  - Non-traditional claim
  - Homeopathic
  - Transitional DIN
- Required supporting evidence in PLA
  - Efficacy
  - Safety
  - Quality
- PL open to foreign applicants with Canadian representatives
- ☐ PL issued as Natural Product Number (NPN)



#### A. Regulations - GMP & Site Licensing (SL) 场地认证

- GMP required to ensure product safety and quality. SL required for all manufacturers, packagers, labelers and importers in Canada
- Foreign sites
  - Foreign site must meet Canadian GMP requirements
  - Evidence required for SL application (one of the following):
    - Audit report
    - ☐ Quality Assurance Report (QAR)
    - Drug establishment license (DEL)
    - ☐ Certificate of Compliance (COC)
    - GMP Certificate
    - Others
  - Asia countries with qualified authority for GMP certificate Taiwan,
     Indonesia, Japan, Korea, Malaysia, Singapore
  - Countries with Mutual Recognition Agreement (MRA) for COC The European Community, Switzerland, Australia



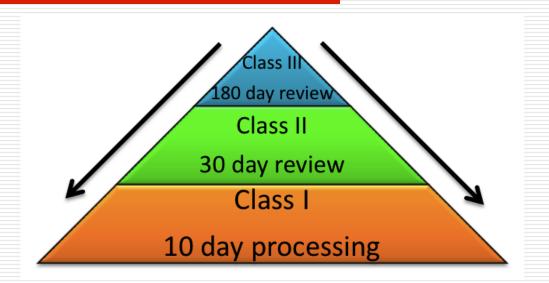
#### A. Regulations - Clinical Trials 临床试验

- Clinical trial not mandatory for PLA
- □ NHP clinical trials (Phase I-III) allowed for:
  - New condition(s) of use
  - Additional evidence to support PL application and approval
  - NHP with no prior history of use in humans
  - Comparative bioavailability studies on two NHP
  - NHP used to treat side effects of a conventional drug
  - NHP used to enhance efficacy of a conventional drug
- ☐ Full clinical trial application (CTA) required to be filed with Health Canada to obtain Notice of Authorization (NOA) prior to the commencement of the trial
- Health Canada only authorizes trials to be conducted in Canada



## A. Regulations - License Application Timeline

注册认证时间



□ PL - 10 to 210 days

Class I: NNHPD monographs

Class II: Combination of NNHPD monographs

Class III: Others

□ SL - 45 days to 6 months



#### A. Regulations - License Application Cost 注册认证费用

- Currently no application fees
- Cost recovery scheme proposed (table below) pending implementation

Application Type	Fee	Timeline (in days)
Compendial PL	\$1,500	60
Compendial-like PL	\$1,700	150
Non-compendial (single ingredient) PL	\$1,810	150
Non-compendial (multi-ingredient) PL	\$3,610	150
SL	\$2,110	60



#### A. Regulations - Summary 法规总结

- Regulated by NHP Regulations as a subset of drugs
- Require pre-market approval
- Structure-function, Risk-reduction, and Treatment or Therapy claims are permitted
- Specific labeling requirements
- GMP compliance required
- Site Licenses required
- Approval required from NHPD for clinical trials
- Mandatory Adverse Reaction Reporting



#### B. Opportunity 机遇

- Health Canada product license opened to foreign applicants
- □ Allowable direct and strong claims (therapeutic, risk reduction, structure/function) for products
  - TCM/CM modernization and internationalization
  - Botanical medicine and phytomedicine
  - Nutritional and dietary supplements
  - Clinical nutrition and medicinal food
- □ Approved in Canada and/or made-in Canada products better perceived by consumers
- □ Greater mainstream market access domestically and globally



#### C. Case Studies - Alive Vitamins, Canada 案例





# C. Case Studies - Nature's Way/Enzymatic Therapy, United States 案例





#### C. Case Studies - Foods For Beauty, Hong Kong 案例





#### C. Case Studies - Sichuan Huaxi Pharma, China 案例





## C. Case Studies - Foreign Site (China) 案例



Health Canada Santé Canada

Site Licence Number: Numéro de la licence:

300493

Expiry: Expiration:

January 25, 2016

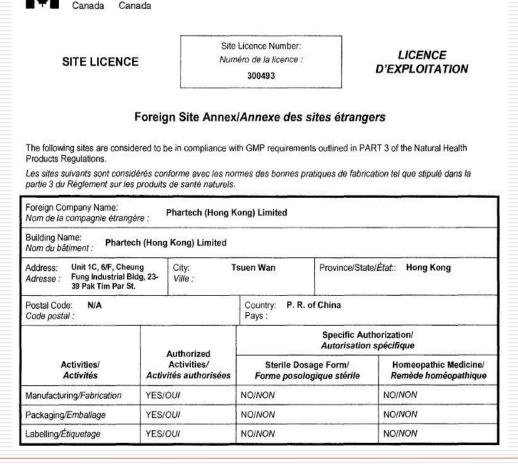
Foreign Company Name: Nom de l'entreprise étrangère:	Sichuan Chuanda West China Pharmaceutical Co., Ltd.		
Addresse:	26 Gaopeng Street, Hi-Tech Development Zone Chengdu 610041 China		
Activities / Activités	Authorized Activities / Activités authorisées	Specific Authorization / Sterile Dosage Form / Forme posologique stérile	Autorisation spécifique Homeopathic Medicine / Remède homéopathique
Manufacturing/Fabrication:	YES/OUI	NO/NON	NO/NON
Packaging/Emballage:	YES/OUI	NO/NON	NO/NON
Labelling/Étiquetage:	YES/OUI	NO/NON	NO/NON



#### C. Case Studies - Foreign Site (Hong Kong) 案例

Santé

Health





#### C. Case Studies - Clinical Trial NOA (Wellgenex) 案例





#### D. Wellgenex 公司简介

- ☐ Corporate highlight:
  - A Canadian professional firm focusing on life sciences, clinical nutrition and natural health in North America (Canada, US) and Asia Pacific (China)
  - Experts with extensive expertise in academia, industry and government bridging the gap between science and business
  - Currently serving clients from Canada, US, China, India, Hong Kong, Taiwan, Japan, Singapore, Korea, Switzerland, Germany, etc.
- □ Area of specialty:
  - Scientific / regulatory affairs
  - Product development / commercialization
  - New market entry / strategic alliance

Wellgenex是加拿大一家专注于生命科学包括临床营养天然健康药品的专业服务公司

- 科学法规事务, 认证与注册
- 产品研发,商业化及临床试验
- 新市场拓展,战略伙伴建立

#### Helping you create product excellence and connect to market success



## E. Q & A 问答

## 谢谢!



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